

#1 SC  
7/26/02IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICEInventor(s) Donald F. Caldwell  
Kenneth Ward Church  
Glenn Stephen Fowler

Serial No. 09/383,889

Group Art Unit : 2177

Filing Date August 26, 1999

Docket No 113518 (113518A)

Examiner: Greta Lee Robinson

Title DATA COMPRESSION METHOD AND APPARATUS

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**NOTICE OF APPEAL FROM THE EXAMINER TO THE  
BOARD OF PATENT APPEALS AND INTERFERENCES**

SIR:

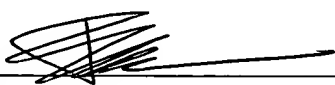
Applicant hereby **appeals** to the Board of Patent Appeals and Interferences from the last decision of the Examiner.

The fee for this Notice of Appeal is \$320, in accordance with 37 C.F.R. § 1.17(b). The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to AT&T Corp. Deposit Account No. 01-2745. I have enclosed a duplicate copy of this sheet.

The undersigned is an attorney of record.

Respectfully submitted,

By

  
Benjamin S. Lee  
Registration No. 42,787  
Tel: 908-221-5438  
Fax: 732-368-6932

Date: July 19, 2002

AT&T CORP.  
P.O. Box 4110  
Middletown, NJ 07748RECEIVED  
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BOARD OF PATENT APPEALS  
AND INTERFERENCES

**FEE TRANSMITTAL**

Patent Fees are subject to annual revision.

Complete Known

Application Number	09/383889
Filing Date	08/26/1999
First Named Inventor	Donald F Caldwell et al.
Examiner Name	G. Robinson
Group/Art Unit	2177
Attorney Docket No.	113518A

TOTAL AMOUNT OF PAYMENT	\$320
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**METHOD OF PAYMENT (check one)**

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	740	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	
106	330	Design Filing Fee	
108	740	Reissue Filing Fee	
114	160	Provisional Filing Fee	

**SUBTOTAL (1)** 0

**2. CLAIMS** ☐ Filing Under 37CFR 1.53 (b)  
☐ CPA Under 37CFR 1.53 (d)  
☐ Amendment

Extra Claims		Fee from below	Fee Paid
Total	- 20 = 0	X 18	= 0
Ind.	- 3 = 0	X 84	= 0
Multiple Dependent Claims			= 0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent Claims in excess of 3
104	280	Multiple Dependent Claims
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; for Reissues, see above

**SUBTOTAL (2)** 0**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1440	Extension for reply within fourth month	
128	1960	Extension for reply within fifth month	
119	320	Notice of Appeal	320
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
195	300	Publication fee	
140	110	Petition to revive - unavoidable	
141	1280	Petition to revive - unintentional	
142	1280	Utility issue fee (or reissue)	
143	460	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	Request for Continued Examination (RCE)	
169	900	Request for expedited exam of a design application	

Other fee (specify):

**SUBTOTAL(3)** 320**SUBMITTED BY**

Typed or Printed Name Benjamin S. Lee

Signature

Date July 19, 2002

Deposit Account User ID

Complete (if applicable)

Reg. Number 42787

SEND TO: Commissioner for Patents, Washington, D.C. 20231

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/383889
Filing Date	08/26/1999
First Named Inventor	Donald F Caldwell et al.
Group Art Unit	2177
Examiner Name	G. Robinson
Attorney Docket Number	113518A

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Total Number of Pages in this Submission 2

Technology Center 2100

**Enclosures (check all that apply)**

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits / Declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53  
☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet  
☐ Drawing(s) & Letter to Official Draftsman  
☐ Licensing-related Papers  
☐ Petition to the Commissioner  
☐ Petition to Convert a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☒ Appeal Communications to Board of Appeals and Interferences  
☐ Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Return Receipt Postcard  
☐ CD, Number of CDs  
☐ Additional enclosure(s) (please identify below)

Remarks

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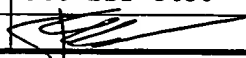
**CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

Customer Number - 26652

or ☐ Correspondence address below

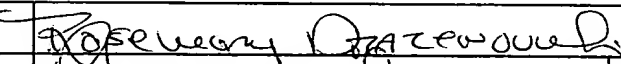
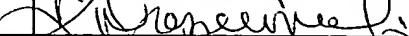
NAME	Samuel H. Dworetzky				
ADDRESS	AT&T CORP. P.O. Box 4110				
CITY	Middletown	STATE	New Jersey	ZIP CODE	07748-4110
COUNTRY	United States of America			FAX	732-368-6932

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Benjamin S. Lee		Reg. #	42787
TELEPHONE	908-221-5438			
SIGNATURE			DATE	July 19, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: July 19, 2002

Type or Printed Name			
Signature		Date	July 19, 2002

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